

05-07-01

A

05/03/01
1c962 U.S. PTO

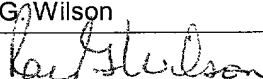
11/06/00

UTILITY PATENT APPLICATION TRANSMITTAL	Attorney Docket No. S-94,613
	First Inventor or Application Identifier. Francisco A. Uribe
	Title FUEL CELL ANODE CONFIGURATION FOR CARBON MONOXIDE TOLERANCE
	Express Mail Label No EJ138101412US

1000 U.S. PTO
05/03/01

APPLICATION ELEMENTS	ADDRESS TO: Box Patent Application Assistant Commissioner for Patents Washington, DC 20231
1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g. PTO/SB/17) (submit an original and a duplicate for fee processing) 2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages 14] <input checked="" type="checkbox"/> Descriptive title of the Invention <input checked="" type="checkbox"/> Cross References to Related Applications <input checked="" type="checkbox"/> Statement Regarding Fed sponsored R&D <input type="checkbox"/> Reference to sequence listing, a table or a compute program listing appendix <input checked="" type="checkbox"/> Background of the Invention <input checked="" type="checkbox"/> Brief Description of the Drawings (if filed) <input checked="" type="checkbox"/> Detailed Description <input checked="" type="checkbox"/> Claim(s) <input checked="" type="checkbox"/> Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawings(s) (35 U.S.C. 113) [Total Sheets 6] <input checked="" type="checkbox"/> Formal <input type="checkbox"/> Informal 5. <input checked="" type="checkbox"/> Declaration & Power of Attorney [Total Pages 2] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 63(d) (for continuation/divisional with Box 16 completed) c. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33 (b).	6. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies ACCOMPANYING APPLICATION PARTS 8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & documentation) 9. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) Attorney 10. <input checked="" type="checkbox"/> Information Disclosure <input checked="" type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (should be specifically itemized) 13. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 14. <input checked="" type="checkbox"/> Request and Certification Under 35 U.S.C. 122(b)(2)(b)(I) 15. <input type="checkbox"/> Other:
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-in-part (CIP) of prior application S.N. 09/216,313. Prior application information: Examiner Group/Art Unit:	
16. CORRESPONDENCE ADDRESS Name: Ray G. Wilson Address: Los Alamos National Laboratory LC/BPL, MS D412 City: Los Alamos State: New Mexico Zip Code 87545 Country: United States Telephone: (505) 667-9168 Fax: (505) 665-3100	

Name (Print/Type): Ray G. Wilson	Registration No. (Attorney/Agent) 28,351
Signature: Ray G. Wilson	Date: May 3, 2001

FEE TRANSMITTAL For FY 2001 <small>Patent fees are subject to annual revision</small> <small>Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid</small>		Complete if Known																																																																																																																																					
METHOD OF PAYMENT 1. <input checked="" type="checkbox"/> The commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: 12-2150 Deposit Account Name: Los Alamos National Laboratory <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 C.F.R. 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number: Filing Date: First Named Inventor: Francisco A. Uribe Examiner Name: Group/Art Unit: Attorney Docket No.: S-94,613																																																																																																																																					
FEE CALCULATION		FEE CALCULATION (continued)																																																																																																																																					
1. BASIC FILING FEE <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee</th> <th style="text-align: left;">Small Entity Fee</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: left;">Fee Paid</th> </tr> </thead> <tbody> <tr> <td>\$710</td> <td>\$355</td> <td>Utility filing fee</td> <td>\$355</td> </tr> <tr> <td>\$710</td> <td>\$355</td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td>\$150</td> <td>\$75</td> <td>Provisional filing fee</td> <td></td> </tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (1)</td> <td>\$355</td> </tr> </tbody> </table>		Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid	\$710	\$355	Utility filing fee	\$355	\$710	\$355	Reissue filing fee		\$150	\$75	Provisional filing fee		SUBTOTAL (1)			\$355	3. ADDITIONAL FEES <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee</th> <th style="text-align: left;">Small Entity Fee</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: left;">Fee Paid</th> </tr> </thead> <tbody> <tr> <td>\$130</td> <td>\$65</td> <td>Surcharge – late filing fee or oath</td> <td></td> </tr> <tr> <td>\$50</td> <td>\$25</td> <td>Surcharge – late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>\$2,520</td> <td>\$2,520</td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td>\$110</td> <td>\$55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>\$390</td> <td>\$195</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>\$890</td> <td>\$445</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>\$1,390</td> <td>\$695</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>\$1,890</td> <td>\$945</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>\$310</td> <td>\$155</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>\$310</td> <td>\$155</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>\$270</td> <td>\$135</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>\$110</td> <td>\$55</td> <td>Petition to revive – unavoidable</td> <td></td> </tr> <tr> <td>\$1,240</td> <td>\$620</td> <td>Petition to revive – unintentional</td> <td></td> </tr> <tr> <td>\$130</td> <td>\$130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>\$50</td> <td>\$50</td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>\$180</td> <td>\$180</td> <td>Submission of Information Disclosure Statement</td> <td></td> </tr> <tr> <td>\$710</td> <td>\$355</td> <td>Filing a submission after final rejection (37 CFR 1.129 (a))</td> <td></td> </tr> <tr> <td>\$710</td> <td>\$355</td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td></td> </tr> <tr> <td>\$300</td> <td>\$300</td> <td>Publication fee for early, voluntary, or normal publication</td> <td></td> </tr> <tr> <td colspan="3">Other fee (specify) _____</td> <td></td> </tr> <tr> <td colspan="3">Other fee (specify) _____</td> <td></td> </tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (3)</td> <td>\$0</td> </tr> <tr> <td colspan="4" style="text-align: center;">Reduced by Basic Filing Fee Paid</td> </tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL FROM 1</td> <td>\$355</td> </tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL FROM 2</td> <td>\$0</td> </tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL FROM 3</td> <td>\$0</td> </tr> <tr> <td colspan="3" style="text-align: right;">TOTAL AMOUNT OF PAYMENT</td> <td>\$355</td> </tr> </tbody> </table>		Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid	\$130	\$65	Surcharge – late filing fee or oath		\$50	\$25	Surcharge – late provisional filing fee or cover sheet		\$2,520	\$2,520	For filing a request for reexamination		\$110	\$55	Extension for reply within first month		\$390	\$195	Extension for reply within second month		\$890	\$445	Extension for reply within third month		\$1,390	\$695	Extension for reply within fourth month		\$1,890	\$945	Extension for reply within fifth month		\$310	\$155	Notice of Appeal		\$310	\$155	Filing a brief in support of an appeal		\$270	\$135	Request for oral hearing		\$110	\$55	Petition to revive – unavoidable		\$1,240	\$620	Petition to revive – unintentional		\$130	\$130	Petitions to the Commissioner		\$50	\$50	Petitions related to provisional applications		\$180	\$180	Submission of Information Disclosure Statement		\$710	\$355	Filing a submission after final rejection (37 CFR 1.129 (a))		\$710	\$355	For each additional invention to be examined (37 CFR 1.129(b))		\$300	\$300	Publication fee for early, voluntary, or normal publication		Other fee (specify) _____				Other fee (specify) _____				SUBTOTAL (3)			\$0	Reduced by Basic Filing Fee Paid				SUBTOTAL FROM 1			\$355	SUBTOTAL FROM 2			\$0	SUBTOTAL FROM 3			\$0	TOTAL AMOUNT OF PAYMENT			\$355
Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid																																																																																																																																				
\$710	\$355	Utility filing fee	\$355																																																																																																																																				
\$710	\$355	Reissue filing fee																																																																																																																																					
\$150	\$75	Provisional filing fee																																																																																																																																					
SUBTOTAL (1)			\$355																																																																																																																																				
Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid																																																																																																																																				
\$130	\$65	Surcharge – late filing fee or oath																																																																																																																																					
\$50	\$25	Surcharge – late provisional filing fee or cover sheet																																																																																																																																					
\$2,520	\$2,520	For filing a request for reexamination																																																																																																																																					
\$110	\$55	Extension for reply within first month																																																																																																																																					
\$390	\$195	Extension for reply within second month																																																																																																																																					
\$890	\$445	Extension for reply within third month																																																																																																																																					
\$1,390	\$695	Extension for reply within fourth month																																																																																																																																					
\$1,890	\$945	Extension for reply within fifth month																																																																																																																																					
\$310	\$155	Notice of Appeal																																																																																																																																					
\$310	\$155	Filing a brief in support of an appeal																																																																																																																																					
\$270	\$135	Request for oral hearing																																																																																																																																					
\$110	\$55	Petition to revive – unavoidable																																																																																																																																					
\$1,240	\$620	Petition to revive – unintentional																																																																																																																																					
\$130	\$130	Petitions to the Commissioner																																																																																																																																					
\$50	\$50	Petitions related to provisional applications																																																																																																																																					
\$180	\$180	Submission of Information Disclosure Statement																																																																																																																																					
\$710	\$355	Filing a submission after final rejection (37 CFR 1.129 (a))																																																																																																																																					
\$710	\$355	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																					
\$300	\$300	Publication fee for early, voluntary, or normal publication																																																																																																																																					
Other fee (specify) _____																																																																																																																																							
Other fee (specify) _____																																																																																																																																							
SUBTOTAL (3)			\$0																																																																																																																																				
Reduced by Basic Filing Fee Paid																																																																																																																																							
SUBTOTAL FROM 1			\$355																																																																																																																																				
SUBTOTAL FROM 2			\$0																																																																																																																																				
SUBTOTAL FROM 3			\$0																																																																																																																																				
TOTAL AMOUNT OF PAYMENT			\$355																																																																																																																																				
2. EXTRA CLAIM FEES <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Total Claims</th> <th style="text-align: left;">5–20** =</th> <th style="text-align: left;">Extra Claims</th> <th style="text-align: left;">Fee from Fee Paid Below</th> <th style="text-align: left;">Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Independent</td> <td>2–3 ** =</td> <td>X</td> <td>=</td> <td>0</td> </tr> <tr> <td>Claims Multiple Dependent</td> <td></td> <td></td> <td>=</td> <td></td> </tr> </tbody> </table> <p><small>** or number previously paid, if greater; For Reissues, see below</small></p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee</th> <th style="text-align: left;">Small Entity Fee</th> <th style="text-align: left;">Fee Description</th> </tr> </thead> <tbody> <tr> <td>\$18</td> <td>\$9</td> <td>Claims in excess of 20</td> </tr> <tr> <td>\$80</td> <td>\$40</td> <td>Independent claims in excess of 3</td> </tr> <tr> <td>\$270</td> <td>\$135</td> <td>Multiple dependent claim, if not paid.</td> </tr> <tr> <td>\$80</td> <td>\$40</td> <td>** Reissue independent claims over original patent</td> </tr> <tr> <td>\$18</td> <td>\$9</td> <td>** Reissue claims in excess of 20 and over original patent</td> </tr> <tr> <td colspan="2" style="text-align: right;">SUBTOTAL (2)</td> <td>\$0</td> </tr> </tbody> </table>		Total Claims	5–20** =	Extra Claims	Fee from Fee Paid Below	Fee Paid	Independent	2–3 ** =	X	=	0	Claims Multiple Dependent			=		Large Entity Fee	Small Entity Fee	Fee Description	\$18	\$9	Claims in excess of 20	\$80	\$40	Independent claims in excess of 3	\$270	\$135	Multiple dependent claim, if not paid.	\$80	\$40	** Reissue independent claims over original patent	\$18	\$9	** Reissue claims in excess of 20 and over original patent	SUBTOTAL (2)		\$0	SUBMITTED BY Printed Name: Ray G. Wilson Signature:  Date: May 3, 2001																																																																																																	
Total Claims	5–20** =	Extra Claims	Fee from Fee Paid Below	Fee Paid																																																																																																																																			
Independent	2–3 ** =	X	=	0																																																																																																																																			
Claims Multiple Dependent			=																																																																																																																																				
Large Entity Fee	Small Entity Fee	Fee Description																																																																																																																																					
\$18	\$9	Claims in excess of 20																																																																																																																																					
\$80	\$40	Independent claims in excess of 3																																																																																																																																					
\$270	\$135	Multiple dependent claim, if not paid.																																																																																																																																					
\$80	\$40	** Reissue independent claims over original patent																																																																																																																																					
\$18	\$9	** Reissue claims in excess of 20 and over original patent																																																																																																																																					
SUBTOTAL (2)		\$0																																																																																																																																					
Complete (if applicable) Reg. No: 28,351 Telephone: (505)665-3112		Complete (if applicable) Reg. No: 28,351 Telephone: (505)665-3112																																																																																																																																					

(11/29/00)

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(b)(I)	First Named Inventor: Francisco A. Uribe
	Title: FUEL CELL ANODE CONFIGURATION FOR CARBON MONOXIDE TOLERANCE
	Atty Docket Number: S-94,613

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122 (b).

May 3, 2001
Date

Ray G. Wilson
Signature

Ray G. Wilson
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**